# Compliance Calendar for August 2016 for Stock Brokers, Portfolio Managers and Depository Participants

## (Registered and Regulated by SEBI/ BSE/ NSE/ MCX-Sx/ CDSL/ MCX/ NCDEX)

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| Sr No | Submission to be Done Exchange | Document Description | Due Date |
| 1 | All Exchange | CKYC | 01-Aug-16 |
| 2 | SEBI | PMS Monthly Report | 05-Aug-16 |
| 3 | BSE | STR NIL Reporting | 05-Aug-16 |
| 4 | MCX | Error code to be uploaded in UCC | 05-Aug-16 |
| 5 | Statutory Payment | Service Tax Payment | 06-Aug-16 |
| 6 | Statutory Payment | TDS | 07-Aug-16 |
| 7 | NSE | Client Funding Details | 07-Aug-16 |
| 8 | BSE | Client Funding Details | 08-Aug-16 |
| 9 | CDSL | BO Investor Grievance Report | 10-Aug-16 |
| 10 | Statutory Payment | Stamp Duty Payment | 10-Aug-16 |
| 11 | BSE | 1% Holding Disclosure | 14-Aug-16 |
| 12 | NSE | 1% Holding Disclosure | 14-Aug-16 |
| 13 | MCX-sx | 1% Holding Disclosure | 14-Aug-16 |
| 15 | MCX-sx | Client Funding Details | 14-Aug-16 |
| 16 | BSE | Indemnity Insurance Policy | 16-Aug-16 |
| 17 | MCX | Networth certificate (CA certified) | 31-Aug-16 |